



CALIFORNIA AT-BERTH REQUIREMENTS

The California Air Resource Board (CARB) 2020 At-Berth Regulation for vessels has begun phasing in emission controls as of 01 January 2023.

ENFORCEMENT NOTICE

CARB issued an [Enforcement Notice](#) on 30 March 2023 detailing:

- An extension to reporting requirements.
- A transitional enforcement path option.

REPORTING REQUIREMENT EXTENSION

CARB has granted an extension to reporting requirements until 01 May 2023 through their **Enforcement Notice** dated 30 March 2023. Vessel visits between 01 January 2023 and 01 April 2023 must report each visit by 01 May 2023. Any vessel visit after 01 April 2023 will need to report its visit within 30 days as required by the 2020 Regulation. Vessels that do not report all visits as of 01 January 2023 will be subject to enforcement actions.

TRANSITIONAL ENFORCEMENT PATH OPTION

Vessel and terminal operators may elect to achieve the 80 percent reduction provisions set forth in the 2007 Regulation during the transition period. The transition period will be in effect until 30 days after the date the U.S. EPA publishes its issuance of authorization for the 2020 Regulation in the Federal Register. Vessel fleet operators that select this option must identify the applicable fleet of vessels to CARB via email at shorepower@arb.ca.gov by 01 May 2023. The definitions and calculations used for this option are those set forth in the 2007 Regulation and the [2017 Advisory](#) will remain applicable.

Entities that select this option must submit fleet visit information with documentation of any exemptions to show compliance with the 80 percent reduction provisions mentioned above. The fleet visit information must be submitted within 60 days after the last day of the transition period. The use of this option does not absolve vessel operators from reporting individual vessel visits as required by the 2020 Regulation.

KEY NOTES

- **Applicable Vessel Types:** All ocean-going vessels
- **Required Actions:**
All ocean-going vessels shall meet the reporting and opacity requirements.
Container, passenger, refrigerated cargo (reefer), tanker and roll on-roll off ("ro-ro") vessels shall use a CARB Approved Emission Control Strategy (CAECS) while docked at berth at California ports.
- **References:**
Sections 93130 through 93130.22 of Title 17, California Code of Regulations

IMPLEMENTATION AND REQUIREMENTS

As of 01 January 2023, all ocean-going vessels must report each vessel visit within 30 days of departure and meet opacity requirements.

Emissions controls compliance start dates are based on vessel type.

Vessel Type	Compliance Start Dates
Container and refrigerated cargo vessels	January 1, 2023
Cruise (passenger) vessels	January 1, 2023
Roll-on roll-off vessels	January 1, 2025
Tanker vessels that visit the Ports of Los Angeles or Long Beach	January 1, 2025
All remaining tanker vessels	January 1, 2027

Once a vessel type has reached its compliance start date then the vessel must:

- Coordinate with regulated terminals at least 7 days prior to arrival and complete all items in the compliance checklist as set forth in section 93130.7(e).
- Reduce emissions while at a regulated terminal in accordance with the regulation's performance standards by:
 - Connecting to shore power.
 - Employing a CARB approved Emission Control Strategy (CAECS) or an approved innovative concept.

These are to be employed within two hours of the vessel arriving at the berth and continue until no sooner than one hour before the pilot boards the vessel for departure from the berth.

Emissions controls will not apply for vessels visiting an unregulated terminal. A terminal that received less than 20 visits in both 2021 and 2022 from a vessel type is initially considered a low activity terminal and is unregulated for that vessel type. A low activity terminal that receives 20 or more visits per year for two consecutive years from a vessel type that is becoming a regulated terminal for that vessel type and shall reduce emissions starting January 1 of the following year.

2020 REGULATION EMISSION PERFORMANCE STANDARDS

To receive CARB approval, the emission control strategy must demonstrate that it achieves emission rates below the limits indicated in the table below:

Applicable Limit (g/kW-hr)	Emission rates of aux. engines			Emission rates of aux. boilers		
	NOx	PM 2.5	ROG	NOx	PM 2.5	ROG
	< 2.8	< 0.03	< 0.1	< 0.4	< 0.03	< 0.02

If a vessel intends to run on liquified natural gas (LNG) and can provide testing data showing emissions meet or exceed the standards, then they could be approved as a CAECS and LNG could then be used. ECS utilizing Selective Catalyst Reduction (SCR) shall have ammonia slip no greater than five parts per million on a dry volume basis (ppmdv) and shall continuously test ammonia slip and NOx with a continuous emission monitor.

VISIT REPORTING REQUIREMENTS

The following information as set forth in section 93130.7(e)(4) is to be reported to CARB within 30 calendar days of each vessel's departure. This requirement is for all vessels making any visit to any terminal on or after 01 January 2023.

Visit reporting templates may be found at <https://ww2.arb.ca.gov/berth-reporting-templates>.

Required information	
(A) Fleet name	(B) Vessel name
(C) Vessel IMO number	(D) Vessel type
(E) IMO NOx tier	(F) Vessel operator's contact information, including name, address, email address and telephone number
(G) Port, terminal and berth visited	(H) Vessel arrival time and vessel departure time
(I) Vessel shifts to another berth (must be reported as a separate visit), where applicable	(J) Type of CAECS used, where applicable
(K) Date and time when vessel declared as "ready to work"	(L) Date and time when a CAECS begins reducing emissions and date and time when a CAECS stops reducing emissions, where applicable
(M) Type of fuel used in auxiliary engine(s) and auxiliary boiler(s)	(N) Sulfur content of fuel used in auxiliary engine(s) and auxiliary boiler(s), where applicable
(O) Amount of fuel used in auxiliary engine(s) and boiler(s), during vessel visit, where applicable	(P) Date and time pilot onboard in preparation for departure
(Q) Information specified in the approved compliance strategy's executive order compliance instructions	(R) Information if a vessel uses an exception, including the type of exception, a detailed description, including dates and times and any relevant correspondence (e.g. emails) documenting the visit exception
(S) Report if a request for the use of the remediation fund, including detailed description of the applicable circumstance specified in section 93130.15 of this control measure, the start and end times during which applicable	(T) Report if a vessel operator or terminal operator uses a TIE or VIE for the visit including the contact information of the responsible official who authorized the use of the TIE or VIE
(U) Report if a CARB approved innovative concept is used to reduce emissions for the visit in compliance with this control measure, as required in the innovative concept's executive order	

All dates and times are to be reported using California local time.

OPACITY REQUIREMENTS

These requirements are for all vessels.

No person shall discharge or cause the discharge from any ocean-going vessel at berth and at anchor, into the atmosphere, any visible emissions of any air pollutant, for a period of periods aggregating three minutes in any one hour from any operation on the vessel that is:

1. As dark as the Ringelmann 2, as published by the United States Bureau of Mines (May 1967) or
2. Of such opacity as to obscure an observer's view to a degree equal to or greater than the Ringelmann 2

CARB will use the California time aggregate method and the United States Environmental Protection Agency Opacity Test Method 9 (40 CFR Pt. 60, App. A-4, December 23, 1971) to analyze the readings and determine compliance. Alternative test methods may be used upon written approval from the executive officer.



VESSEL VISIT EXCEPTIONS

Vessel visit exceptions allow vessel operators an exemption from the operational requirements if any of the following occurs:

- a. **A “safety and emergency event”**: This means an event where a responsible official reasonably determines that compliance with a control measure would endanger the safety of the vessel, crew, cargo, passengers, terminal, or terminal staff because of severe weather conditions, a utility event, or other extraordinary reasons beyond the control of the terminal operator or vessel operator.
- b. **A Vessel Incident Event (VIE)**: VIEs are exceptions provided to vessel fleets to allow for a limited number of incidents where a vessel operator does not reduce emissions as required during a vessel visit.

A vessel fleet means a group of vessels of the same vessel type at a single port or marine terminal that have agreed to utilize their combined VIEs and are registered with CARB by a person who is designated as that fleet's representative. A vessel fleet will receive 5 percent of their annual visits as “VIEs” for the next calendar year.

Fleet operators that have not previously participated in California recordkeeping requirements, may request VIEs by December 1 of the preceding year by providing an estimate of fleet visits. Similarly, if a fleet anticipates additional visits in an upcoming year, an estimated number of visits may be provided to CARB when requesting the fleets VIEs. However, if more VIEs are used than warranted by the number of actual visits, those visits will be violations of the regulation.

A fleet operator does not have to provide a list of vessels registered in their fleet; rather, each vessel should report the fleet that it belongs to for each visit. Additionally, if a VIE is used for a visit, the fleet operator must confirm to CARB that the VIE is approved for use for that visit.

- c. **A Terminal Incident Event (TIE):** TIEs are similar to VIEs but provided to terminals.
- d. **Vessel commissioning:** The first vessel commissioning visit made by a vessel to a terminal may be an exception as long as the vessel was able to successfully connect to shore power during that visit. Documentation of a successful vessel commissioning must be submitted with the vessel visit reporting requirements and may qualify for exception if approved by CARB where the vessel operator demonstrates:
1. The commissioning process could not be accomplished in a single visit.
 2. The terminal requires that the vessel be recommissioned.
 3. Upon successful completion of commissioning, the CAECS provider will issue documentation that the vessel completed commissioning for that berth at the terminal.
- e. **Research:** Vessel visits that participate in research may be an exception provided that the vessel operator:
1. Receives a CARB approved test plan for the research prior to the vessel's arrival.
 2. Participates in testing in accordance with the approved test plan.
 3. Keeps a copy of the approved test plan on the vessel at all times.
 4. Provides a copy of the approved test plan to CARB staff upon request.
 5. Reports all information including the use of the research exception pursuant to section 93130.7(e)(4).
- f. **Remediation:** Requirements of the 2020 Regulation do not apply during the portion of a visit that qualifies and uses the remediation fund option. More information is provided below.
- g. **Innovative concept:** The at berth emission reduction requirements of section 93130.7 and section 93130.9 do not apply during a visit where the vessel or terminal operator elects to comply using an approved innovative concept. [Innovative concepts](#) are a CARB approved strategy to reduce air pollution emissions from sources at or near a regulated port or marine terminal that can be used to achieve emission reductions for compliance with the 2020 Regulation

Circumstances that may qualify for an Exception, a VIE/TIE or remediation				
Circumstances	Exception	VIE/TIE	Remediation Fund	Responsible Parties
Safety/emergency, research, vessel commissioning, or innovative concept	X			
Visits without reductions		X	X	Terminal, Vessel
Vessel control equipment repair		X	X	Vessel
Terminal control equipment repair		X	X	Terminal, Port
Terminal upgrades/construction		X	X	Terminal, Port
Delays, but reductions occur		X	X	Terminal, Vessel, CAECS operator
CAECS equipment failure, or CAECS failure to perform		X	X	Terminal, Vessel, CAECS operator
*In general, all visits may use a VIE or TIE if available, but not all visits qualify for remediation. See section 93130.15(b).				

REMEDIATION

The remediation fund is an account established by a CARB approved fund administrator under the terms of a Memorandum of Understanding with CARB to provide incentive monies to activities that achieve emission reductions, in communities impacted by excess emissions from vessels at berth.

Vessel operators may request to use the remediation fund option in the following circumstances, if the request is supported by compelling documentation that demonstrates the eligibility of the request:

- Vessel equipment repairs - a vessel operator has invested in shore power or other onboard control equipment, but that equipment needs maintenance, commissioning, has failed and is being repaired, or new or replacement equipment has been ordered in a timely manner, but has not been received.
- Delays with operation of existing control strategy – a vessel visits a berth, but the visit fails to achieve the full emission reductions requirements due to a delay or interruption in controlling emissions or a failure of the CAECS operator under contract to perform.

Request must be sent to CARB within 30 calendar days after the affected vessel visit. Remediation fund hourly amount is showed in the table below:

Remediation Fund Hourly Amount		
Vessel Type	Hourly Remediation Payment Beginning in 2023*	
	Normal Rate	Tier III Rate
Container, Reefer, Ro-ro	\$1,900	\$1,100
Tanker with electric pumps	\$1,600	\$1,000
Tanker with steam driven pumps	\$3,400	\$2,700
Passenger vessels with capacity under 1,500 combined passengers and crew	\$5,300	\$3,200
Passenger vessels with capacity of 1,500 or more combined passengers and crew	\$12,000	\$7,100

*Remediation payments used by vessel operators shall be reduced by 20 percent for IMO Tier III tanker vessels with steam driven pumps and 40 percent for all other IMO Tier III vessels.

If a vessel operator does not comply with the 2020 Regulation through the CAECS or the use of an exception, or remediation fund, an enforcement action may be taken.

REQUIREMENTS FOR AUXILIARY BOILERS OF TANKERS

Auxiliary boilers on ocean-going vessels designed primarily to provide steam for uses other than propulsion or pumping cargo do not fall under the scope of the At-Berth Regulation. However, the Regulation defines “tanker auxiliary boiler” as a steam generator on a tanker vessel used to offload liquid product and vessel operators of tanker vessels with steam driven pumps are required to reduce auxiliary boiler emissions through a CAECS during each visit to a terminal unless:

- The tanker is using shore power to reduce emissions from auxiliary engines.
- The visit qualifies for an exception.

Alternatively, the ship may apply for approval as a CAECS for using any solution that can meet the emissions standards for “tanker auxiliary boiler” as below:

- 0.4 g/kW-hr for NOx
- 0.03 g/kW-hr for PM 2.5
- 0.02 g/kW-hr for ROG



SHIPS FITTED WITH CAECS

The 2020 Regulation has been designed in such a way that vessel-based solutions could be used. Any solution that can meet the emissions standards for auxiliary engines as below may apply for approval as a CAECS.

- 2.8 g/kW-hr for NOx
- 0.03 g/kW-hr for PM 2.5
- 0.1 g/kW-hr for ROG

For example, if a vessel intends to run on LNG and can provide testing data showing the vessel’s emissions meet or exceed the standards, then that could potentially be approved as a CAECS and LNG could then be used as a compliance pathway for vessels with the same engine class.

SPECIFICATIONS FOR ONSHORE POWER SUPPLY (OPS) SYSTEMS

Currently there is no specific standard specified by CARB. Technical design, installation and testing requirements for the OPS system are provided by the following international standards:

1. IEC/IEEE 80005-1:2019: Utility connections in port – Part 1: High Voltage Shore Connection (HVSC) Systems – General requirements
2. IEC 62613-1:2019: Plugs, socket-outlets and ship couplers for high-voltage shore connection (HVSC) systems – Part 1: General requirements

The ABS *Guide for High Voltage Shore Connection* provides requirements for the design, installation and survey of high voltage shore connection installations and in particular addressing:

- The safety of shipboard and where applicable, shoreside personnel during the deployment and retrieval of the cable and when making the HV connection.
- The safety of shipboard personnel during the period in which the vessel is operating using the established HV shoreside connection.
- The safety of the shipboard personnel should the HV connection malfunction.
- The safety of shipboard personnel and shoreside staff as applicable at the time the HV connection between the vessel and the shoreside supply is disconnected.

- Requirements for the safe storage of the HV equipment and associated connections when the vessel is on voyage or in ports where no HV connection is established.

It is always important to verify that any ship using shore power is compatible with the shore power of the terminal beforehand. A compatibility assessment (for high voltage) or technical analysis (for low voltage) of the OPS system should be available to verify the possibility of connecting the ship electrical system to the shore's installations.

Both shore- and ship-sides should specify responsibilities and assignments, including the person in charge of the operation and complete a pre-connection checklist prior to the ship's arrival and connection at a shore supply point.

TERMINAL AND PORT PLANS

Terminals and ports are required to submit plans to CARB addressing their compliance scheme. The terminal and port plans may be found at <https://ww2.arb.ca.gov/terminal-and-port-plan-submissions>. These plans may include specific information regarding the standard of the shore power equipment/connections. The plans do contain contact information for the terminal/port which may be used to obtain additional information.

REQUIREMENTS FOR ALL VESSELS INCLUDING BULK CARRIERS AND GENERAL CARGO VESSELS

Vessels that do not have emissions control requirements, still from January 1, 2023 must comply with:

- The visit reporting requirements as described above (see section 93130.7(e)(4) of the 2020 Regulation)
- The opacity requirements as described above (see section 93130.6 of the 2020 Regulation)

ENFORCEMENT

CARB follows its [Enforcement Penalty Policy](#) to resolve violations of any CARB regulation. CARB considers eight statutory factors that could potentially reduce the maximum violation penalty amount. Each failure to meet any requirement of the 2020 Regulation constitutes a single, separate violation and each item of a reporting requirement constitutes a separate action. The California Health and Safety Code states penalties shall not exceed \$37,500 for "each action" subject to this part of the code.

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